Docket No.

292041US0PCT

10/5819**79**AP20 2001 101/PTO 07 JUL 2006

GAU:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Seiji TANIMOTO, et al.

SERIAL NO: New U.S. PCT Application Based on PCT/JP05/08885

FILED: Herewith EXAMINER:

FOR: AQUEOUS DISPERSION, COMPOSITION AND COATING AGENT FOR PAPER

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

The applicant(s) wish to make of record the references cited in the International Search Report and listed on the
attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of
relevancy or any readily available English translations of pertinent portions of any non-English language
references.

☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be
related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies
of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the
attached PTO form 1449.

A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

Each item of information contained in this information disclosure statement was first cited in any communication
from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of
this statement.

□ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number <u>15-0030</u>. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Norman F. Oblon

Registration No. 24,618

Customer Number

22850

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03)

Surinder Sachar

Registration No. 34,423

ATTY DOCKET NO. U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE Form PTO 1449 (Modified) New U.S. PCT Application 292041US0PCT Based on PCT/JP05/08885 **APPLICANT** LIST OF REFERENCES CITED BY APPLICANT Seiji TANIMOTO, et al. **FILING DATE GROUP** Herewith **U.S. PATENT DOCUMENTS** SUB **FILING DATE EXAMINER DOCUMENT CLASS** DATE NAME INITIAL NUMBER **CLASS** IF APPROPRIATE AA AB AC AD ΑE ΑF AG ΑH ΑI ΑJ ΑK ΑL AM ΑN **FOREIGN PATENT DOCUMENTS** DOCUMENT TRANSLATION DATE COUNTRY NUMBER YES NO 2003-138095 05/14/03 JP (with English abstract) NO AO 08/28/01 JP (with English abstract) NO AP 2001-234018 04/30/04 JP (with English abstract) NO AQ 2004-131720 2001-40231 02/13/01 JP (with English abstract) NO AR NO AS 2002-88214 03/27/02 JP (with English abstract) AT ΑU ΑV OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) ΑW AX ΑY ΑZ Additional References sheet(s) attached **Date Considered** Examiner *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.